

# Visual Computing HS19

## Image Segmentation

Thresholding:  $B(x,y) = \begin{cases} 1 & \text{if } I(x,y) < T \\ 0 & \text{otherwise} \end{cases}$   
for binary img. Trial & Error to get T.

Chromakey: Filter for BG color:

$$I_\alpha = |I - g| > T; g = \text{RGB color}$$

ROC = Performance of binary classifiers

$$TP + FN = \#P; TN + FP = \#F$$

$$\text{sensitivity} = \frac{TP}{P} \quad \text{specificity} = \frac{TN}{N}$$

$$\text{Curve} = \frac{TP}{P} \xrightarrow{\text{ROC}} \frac{FP}{N}$$

Pixel connectivity  $\# / \#$  4/8 Neigh.

## Connected Component Labeling

- Scan Row by Row  $\rightarrow$  Look at Neighbours  $\rightarrow$  if not labeled := new label  
else copy labels
- Build equiv. list of multilabeled pixels, do 2nd run and "merge" equivalent lists

## Region growing

Start from a seed point, add pixels that satisfy criteria, repeat until no more pixels are added.

## Background subtraction

$$I_\alpha = |I - I_{\text{bg}}| > T \text{ or better:}$$

$$I_\alpha = \sqrt{(1-I_{\text{bg}}) \sum (I-I_{\text{bg}})^2} > T, \text{ where}$$

$\Sigma = \text{BG Pixel appr. cov. Matrix (computed individually for each pixel, e.g. from Gaussian Mixture Model)}$

## Image as binary set

$I = \{(x_i, y_i, \dots)\}$   $S = \text{structuring element}$   
 $S \text{ fits } I \text{ at } x: \text{All of } S \text{ are in } I$   
 $S \text{ hits } I \text{ at } x: \text{Some of } S \text{ are in } I$

$S \text{ misses } I \text{ at } x: \text{None of } S \text{ are in } I$

## Erosion

$$E(x) = 1 \text{ if } S \text{ fits } I \text{ at } x; 0 \text{ else}$$

## Dilation

$$D(x) = 1 \text{ if } S \text{ hits } I \text{ at } x; 0 \text{ else}$$

## Opening

$$I \circ S = (I \ominus S) \oplus S$$

## Closing

$$I \bullet S = (I \odot S) \ominus S$$

## Thinning

$$I \oslash S = I \setminus (I \otimes S)$$

## Thickening

$$I \oslash S = I \cup (I \otimes S)$$

## Exact Match

$$I \otimes S; \text{ simple template matching, 1 at "center" where } S \text{ fits}$$

## Medial Axis transform

Builds a "skeleton", start a grassfire at boundary, skeleton is set of points

where two fire fronts meet.

Use  $B = \begin{smallmatrix} 0 & 1 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 0 \end{smallmatrix}$  with  $\Theta_n = n$  Erosions

$$S_n(x) = (x \ominus_n B) \setminus [(x \ominus_n B) \odot B]$$

$$S(x) = \bigcup_{n=1}^{\infty} S_n(x)$$

## Markov random fields

Field of sites, each with lbl. Label a

site with respect to neighboring sites.

Smoothness: prefer sol. where neighboring

sites have same lbl (Regularizer)

$$E(y; \theta, \text{data}) = \sum \psi_1(y_i; \theta, \text{data}) + \text{data term} + \sum_{\text{edges}} \psi_2(y_i, y_j; \theta, \text{data}) + \text{smoothness term}$$

## Filtering

### Linear Map

$$F[aI_1 + bI_2] = a \cdot F(I_1) + b \cdot F(I_2)$$

Correlation Multiply componentwise, sum up:

$$I' = K \circ I = \sum_{(i,j) \in N(x,y)} K(i,j) \cdot I(x+i, y+j)$$

(Multiply each el of Kernel with el of img underneath, add up)

Convolution punktspiegelung in Mitte,

mult. componentwise, add up.

$$I' = K * I = \sum_{(i,j) \in N(x,y)} K(i,j) \cdot I(x-i, y-j)$$

$\rightarrow$  Same as correlation, with revers. Kernel

$$\rightarrow \text{If } K(i,j) = K(-i, -j) \rightarrow K \circ I = K * I$$

$$\text{Kernel Koord.: } \begin{smallmatrix} -1 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & -1 \end{smallmatrix} \Rightarrow 3 \times 3: 9N^2 \text{ runtime}$$

## Avoid aliasing on Edges

clip filter  $\rightarrow$  add 0 reflect image

wrap around vary filter near edge

copy edge

Some Kernels

sharpen prwitt sobel laplacian

-1 -1 -1 -1 1 1 1 1 1 box blur

-1 0 1 -1 0 1 -1 0 1 high pass

-1 1 -1 -1 1 1 -1 1 -1 separable

-1 1 -1 1 1 1 1 1 1 High pass

$\begin{bmatrix} 1 \\ 1 \end{bmatrix} \cdot \begin{bmatrix} -1 \\ 0 \end{bmatrix}^T \begin{bmatrix} 1 \\ 2 \\ 1 \end{bmatrix} \cdot \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}^T$

separable Kernel  $3 \times 3: 6N^2$  runtime

If  $K(m, n) = f(m) \cdot g(n)$

Gaussian used for smoothing.

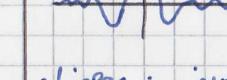
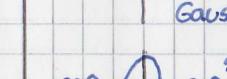
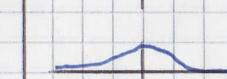
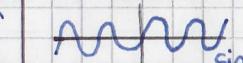
depends on  $\sigma$  and window size. Is symmetric, but not separable

$$\text{Poisson } p(k) = \frac{\lambda^k \cdot e^{-\lambda}}{k!}$$

## Fourier Transformation

Spatial Domain

Frequency domain



Linear; inverse exists

scale func. down  $\rightarrow$  scale trans. up

## Convolution Theorem

$\cdot \text{FT (convol.)} = \text{Prod (FTs)}:$

$$U(F * g) = F \cdot G$$

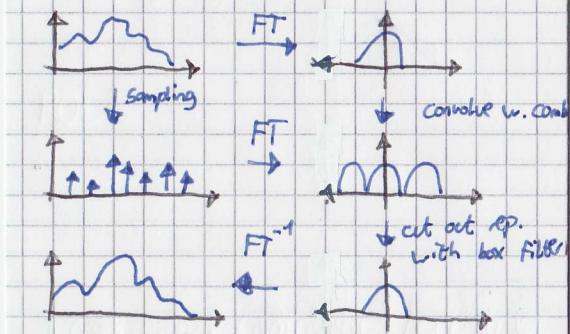
$\cdot \text{Conv (FTs)} = \text{FT (prod)}:$

$$F ** G = U(F \cdot g)$$

**Aliasing & Sampling:** Suppress high frequencies before sampling (e.g. convolve with gaussian)

**Nyquist Sampling theorem**

Sampling freq.  $\geq 2 \times$  highest freq.



**Motion Blur Transform**: each "light dot" into a line along the  $x_1$ -Axis

**Edge / Corner detection**

High Gradient = Edge

Laplacian Operator: detect zero-crossing in second derivative  $\rightarrow$  invariant to rotation

Local Gradient:

$$|\text{grad}(x,y)| = \sqrt{\left(\frac{df}{dx}\right)^2 + \left(\frac{df}{dy}\right)^2}$$

Laplacian of Gaussian  $\text{LoG}(x,y)$ : the polar params of the line

Blur with Gaussian, edge via Laplace Local maxima in polar plane do not change, orientation & scaling.

Laplacian in discrete: Approx.

$$\begin{matrix} 0 & 1 & 0 \\ 1 & -4 & 1 \\ 0 & 1 & 0 \end{matrix} \quad \text{or} \quad \begin{matrix} 1 & 1 & 1 \\ 1 & -8 & 1 \\ 1 & 1 & 1 \end{matrix}$$

- Sensitive to high freq. / noise,  $\rightarrow$  blur first (LoG)

**Canny Edge detector**

1) Smooth image (Gaussian)

2) Compute gradient magn. & direction (Sobel, Prewitt, ...)

$$M(x,y) = \sqrt{\left(\frac{df}{dx}\right)^2 + \left(\frac{df}{dy}\right)^2} \quad \alpha(x,y) = \tan^{-1}\left(\frac{df}{dx}/\frac{df}{dy}\right)$$

3) Apply nonmax. suppr. to grad. magn. img

4) Double thresholding to detect strong & weak edge pixels

5) Reject weak edges not connect. to strong.

**Nonmaxima Suppression**

1) Categorize gradient to / \ | -

2) If magnitude is smaller than neighboring ones in category (direction), don't take it

**Hough transform**

Parameterize points in the image by

$$p = x \cos \theta + y \sin \theta$$

Map that parameter space onto a  $\theta$  diagram  $\rightarrow$  results in a sinusoid in the  $(\theta, p)$  plane. Points on the same

line in  $x, y$  intersect in  $(\theta, p)$ , giving

the polar params of the line. If

local maxima in polar plane do not change,

noise is no issue.

**Local Displacement Sensitivity**

$$S(\Delta x, \Delta y) = (\Delta x, \Delta y) M \begin{pmatrix} \Delta x \\ \Delta y \end{pmatrix}$$

$$SSD = \frac{1}{\Delta^T M \Delta}$$

with

$$M = \sum_{(x,y) \in \text{window}} \begin{bmatrix} f_h^2(x,y) & f_x(x,y) f_y(x,y) \\ f_x(x,y) f_y(x,y) & f_y^2(x,y) \end{bmatrix}$$

$f_u(x,y)$  = Gradient in direction  $u$

$$SSD = \Delta^T M \Delta, \text{ find } \min \Delta^T M \Delta; \|\Delta\|=1,$$

i.e. Max. Eigenvalues of  $M$

**Keypoint / Harris Edge detector**

$M$  same as above, measure "cornerness":

$$C(x,y) = \det(M) - k \cdot (\text{trace}(M))^2 =$$

$$\lambda_1 \lambda_2 - k(\lambda_1 + \lambda_2).$$

If  $\lambda_1 \gg \lambda_2$  or  $\lambda_2 \gg \lambda_1 \rightarrow$  Edge

Both large  $\rightarrow$  corner

Both small  $\rightarrow$  flat region

$\rightarrow$  Invariant to intensity shift

$\rightarrow$  Invariant to shift & rotation

$\rightarrow$  Invariant to brightness offset

$\rightarrow$  Not invariant to scaling

**Better Localization of corners by**

weighting with Gaussian  $\rightarrow$  give more

importance to center pixels

**Scale Inv. Feature Transf. SIFT**

Recover Features from images with posit.

orientation & scaling. Used for image

recognition. DoG = Difference of Gaussian

Position: strong response of DoG

Scale: DoG over scale space

Orientation: Compute gradient for each

pixel, create histogram. Peak (= most pixel gradients in that direct.) = canonical direction.

**SIFT descriptor:** Create array of orientation histograms of image parts.  $4 \times t$  is the final hist. size, with 8 directions

$\rightarrow 128$  dimensions. Histogram

**Unitary Transforms**

$$A^{-1} = A^{*T} = A^H$$

**Linear operator**  $O[\alpha_1 \cdot \vec{f}_1 + \alpha_2 \cdot \vec{f}_2] =$

$$\alpha_1 \cdot O[\vec{f}_1] + \alpha_2 \cdot O[\vec{f}_2]$$

**Energy conservation:** for unit. trans.

$$\vec{c} = A \vec{f} \quad (\text{with } A^H = A^{-1}):$$

$$\|\vec{c}\|^2 = \vec{c}^H \vec{c} = \vec{f}^H A^H A \vec{f} = \vec{f}^H \vec{f} = \|\vec{f}\|^2$$

$\rightarrow$  Every unitary transform is simply a rotation of coord. system; Vector lengths ("energies") are preserved

**Autocorrelation Matrix**

Img collection  $F = [f_1 \ f_2 \dots f_n]$  ( $n$  vectors, where each is an img.)

$$R_{FF} = E[F \cdot F^H] = \frac{F \cdot F^H}{n} \quad \text{image collection auto-cor. function}$$

$$\text{For } \vec{c} = A \vec{f} \rightarrow R_{cc} = E[cc^H] =$$

$$E[A F \cdot F^H A^H] = A R_{FF} A^H$$

**Eigenmatrix of Autocorrelation**

$\Phi$  of  $R_{FF}$ : unitary, cols = Eigenvect. of  $R_{FF}$

$$R_{FF} \Phi = \Phi \Lambda \Phi^{-1} \quad \text{Eigenval. Matrix} \quad 2$$

## Karhunen-Loeve / PCA

Unit. Transform with  $A = \phi^H$ , with

cols. of  $\phi$  sorted by decreasing Eigenval.

$$R_{\text{eff}} = A R_{\text{cov}} A^H = \phi^H R_{\text{eff}} \phi = \phi^H \phi \Lambda = \Lambda$$

→ Energy concentration, most energy

(vector length) in first  $j$  components, with arbitrary  $j$ .

→ Mean squared approx. error by choosing only first  $j$  components is minimized

## PCA Algorithm

- Center data
- Normalize data
- Compute covar. matrix  $\Sigma$  of data
- Perform Eigen decompos. of  $\Sigma$ 
  - ↳ EV give directions that describe variation of data. Corresponding EW describe magnitude of variation.
- Covar. Matrix  $\Sigma$  is symmetric
  - ↳ components are orthogonal, EV are real-valued

## Compressing via PCA

$K$  = dimension of compr. image

$$I \in \mathbb{R}^n = \text{Image}; \bar{I} \in \mathbb{R}^n = \text{mean img}$$

$n$  = Size of image

$$\underline{I}_k \in \mathbb{R}^K = \text{Compressed img (K factors for the K Eigenimages)}$$

$\phi \in \mathbb{R}^{n \times K}$  = trunc. Eigenmatrix of covariance (Eigenimages).

$$\text{Compression formula: } \underline{I}_k = (\underline{I} - \bar{I}) \phi$$

$$\cdot \text{Decompression: } \hat{I} = \underline{I}_k \phi^T + \bar{I}$$

## Storage Overhead:

- dataset mean  $\bar{I} = n$  (img. size)

- trunc. Eigenmatrix  $\phi = n \times K$

- Compressed images  $b \times K$ , where  $b = \text{number of images to store}$

## Eigenfaces

• PCA on training images (each img. is convert. to a row vector; resulting matrix is centered and normalized [subtracting avg. img.]):

$$\bar{I} = \frac{1}{n} \sum_{i=1}^n I_n; \hat{I}_i = I_i - \bar{I}$$

$$[\hat{I}_1, \hat{I}_2, \dots] = U \Sigma V^T = \begin{bmatrix} U & \Sigma & V^T \end{bmatrix} \text{SVD}$$

• To compress, only take the  $k$  EV with largest EW →  $\begin{bmatrix} U_k & \Sigma & V_k^T \end{bmatrix}$

$$c_i = \Sigma_k (V_k^T); \text{ "Coefficient" of face; }$$

→ Can store  $K$  coeff. for an img.

→ Restoration: mean\\_face +  $\sum_{i=1}^k c_i \cdot \text{eigifac.}$  where  $Ef_i = i\text{-th col of } U_k$

• Eigenfaces are sensitive to lighting variation!

## Pyramids & Wavelets

### Scale-Space Representation

From an original signal  $f(x)$ , generate a family of signals  $f^t(x)$ , where successively fine-scale info is

suppressed (i.e. smoothing with Gauss)

Pyramid: Scale down img at each level

↳ Search for correspondence

↳ Edge tracking

↳ Control of detail & computation

### Gaussian pyramid

- Smooth at each lvl with gaussian, because  $\text{Gauss} * \text{Gauss} = \text{Gauss}$

- Gauss = Low Pass filter → representation is redundant

### Laplacian Pyramid

- Save difference between upsampled gauss lvl and gauss pyramid lvl

- Band-pass filter → each lvl represents spatial freq. unrepresented at other lvl

- Analysis: reconstr. gauss pyramid,

take top layer

### Oriented Pyramids

Laplacian Pyramids are orient. independent.

→ Apply an oriented filter (kernel)

to determine orientations at each layer

→ this represents img. info at a particular scale & orientation

### Wavelet transform

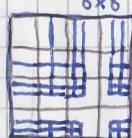
Decomposing a signal by applying a two-band filter to lowpass band of prev. stage into multiple signals. Result back in old signal when added together.

### Haar-Transform



• Real & orthogonal

• 2D basis img of transf:



## Optical Flow

### Basic Assumptions

Brightness constancy; small motion; spatial coherence

### Brightness Const. Assumption

$$I(x + \frac{dx}{dt}, y + \frac{dy}{dt}, t + \Delta t) = I(x, y, t)$$

"Brightness doesn't change"

$$\frac{dI}{dt} = \frac{\partial I}{\partial x} \frac{dx}{dt} + \frac{\partial I}{\partial y} \frac{dy}{dt} + \frac{\partial I}{\partial t} = 0$$

"Change of intens. is comp. by shift in space"

$$\Rightarrow \text{Opt. Flow: } u = \frac{dI}{dx}; v = \frac{dI}{dy}$$

$$\Rightarrow \text{Loc. Gradi.: } I_x = \frac{\partial I}{\partial x}; I_y = \frac{\partial I}{\partial y}; I_t = \frac{\partial I}{\partial t}$$

$$\Rightarrow I_x u + I_y v + I_t = 0$$

↳ 2 Unknowns, 1 Equation

**Aperture Problem:** Can not determine where a point on a line moves (e.g. Barberpole), because we have 1 Equation in 2 unknowns.

## Horn & Schunck Algorithm

Add a smoothness „regularizer“:

$$e_s = \iint (u_x^2 + u_y^2) + (v_x^2 + v_y^2) dx dy$$

besides OF constraint:

$$e_c = \iint (I_x u + I_y v + I_t)^2 dx dy$$

$\Rightarrow$  minimize  $e_s + \lambda e_c$

→ Errors at boundaries; Example of Regulariz.

## Lucas - Kanade

Assume same displac. for a patch

$$\begin{bmatrix} I_x(p_1) & I_y(p_1) \\ \vdots & \vdots \\ I_x(p_n) & I_y(p_n) \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = - \begin{bmatrix} I_t(p_1) \\ \vdots \\ I_t(p_n) \end{bmatrix} *$$

$$A \quad x = b$$

Want to min.  $(Ax-b)^2$  → Least Squares

with  $A^T A x = A^T b$ :

$$\begin{bmatrix} \sum I_x^2 & \sum I_x I_y \\ \sum I_x I_y & \sum I_y^2 \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = - \begin{bmatrix} \sum I_x I_t \\ \sum I_y I_t \end{bmatrix}$$

## Derivation of Lucas - Kanade

1) Bright. const.:  $I(x, y, t) = I(x+u, y+v, t+1)$

2) Taylor. exp. with small motion:

$$I(x+u, y+v, t+1) = I(x, y, t) + I_x u + I_y v + I_t$$

Bc. of 1), we get  $I_x u + I_y v = -I_t$

$\Rightarrow$  Single eq. 2 unkno. Use spatial coh. to get equation \* from above.

- $A^T A$  is invertible
- + Good for textured areas
- Not good for large ( $> 1$  Px) Motion; if point doesn't move like neighbors; if brightness const. doesn't hold

## Iterative Refinement

- Coarse-to-fine by using image pyramids (to capture large motion)
- Estim. pixel velocities, warp one img. towards other using estim. flow, repeat

## Video Compression

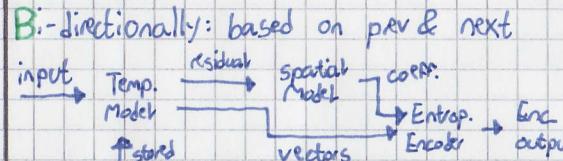
DCT block based (discrete) variant of DFT → + real numb./fast implem.

**Interlaced Video:** use 2 temp. shifted half-img. to increase freq. to 50 Hz

**Temporal Redundancy:** pred. current img. based on prev. - doesn't work when scene changes often/high motion

**Intra-coded frame:** independent of others

**Predictively-coded:** based on prev. frame



## Motion compensated prediction

Idea: Video into moving obj. → descr. motion

## Practice: Block Matching motion est.

All pixels inside a block have some motion

1) Divide curr. frame into non-overlapping

$N_1 \times N_2$  -Blocks

2) For each block: find best matching block in reference frame

⇒ Use best matching blocks of ref. frame as prediction of blocks in curr. frame

**Motion Vector:** Expresses rel. hor&vert offset ( $m_{u_1}, m_{v_1}$ ) of a block

**Motion Vector Field:** Collection of motion vectors of all blocks in a frame

**Fast Motion Est. Search:** Perform coarse-to-fine search; Next step is centered at best match of prior step

**Half-Pixel MV:** Use fractional MV to represent sub-pixel motion

+ Can capture half-pixel motion; Averaging effect; Reduces noise → improved compression

## Block Matching Advantages/Disadv.

+ Good, robust perform. for compression

+ Resulting MV field easy to represent

+ Simple, periodic structure

- Assumes translat. mot. model → Breaks down for complex motion

- Often prod. block artifacts (OK for DCT)

## Sparsity & Texture

**Dictionary:** Normalized set of basis vect.

$D$  is adapted to img  $x$ :  $f(x)$  can be represented by a few vect. of  $D$  ( $D \cdot a = x$ , with  $a$  being the sparse code)

⇒ Sparse represent. can be used to represent data, but not noise → use for denoising by introducing a sparsity term (analog ML)

**Represent Texture:** find meaningful subfram. with meaningful repetition → Use oriented filters

## Texture as Pyramid

form an oriented pyramid (e.g. Laplacian Pyramid), apply a number of oriented filters → represents img info at particular scale & orientation

**Chaos Mosaic:** tile image; pick random blocks and place in random location; smooth edges. Works well for random, unstructured textures

**Efros - Leung:**  $P(p | N(p))$ : search src img for similar neighb. of pixel when generating a new pixel → consider spatial constr.

**Image Quilting:**  $P(B | N(B))$ : consider a block as unit. Faster: Block by block:

$$\boxed{B_1} \boxed{B_2} \rightarrow \boxed{M} \boxed{B_2} \rightarrow \boxed{\square} \text{ minimal overlap}$$

# Computer Graphics

## Drawing Triangles

**Coverage**:  $C(x,y) = 1$  if triangle contains  $(x,y)$ ; 0 else

**Supersampling**: Take multiple samples per pixel, average samples to get color

**Point-in-Triangle**: For each edge, test if point is inside:  $=0 \rightarrow$  Edge;  $<0 \rightarrow$  inside

$$E(x,y) = (x - x_i) dY_i - (y - Y_i) dX_i, \text{ with}$$

$$dX_i = X_{i+1} - X_i \quad (\text{Edge})$$

$p_{x,y,z}$

**Camera Coord.**

$$v = \frac{y}{z} \quad u = \frac{x}{z}$$

## Transforms

**Linear Map** takes lines to lines; keeps origin

$$\cdot f(u+v) = f(u) + f(v); f(\alpha v) = \alpha \cdot f(v)$$

**Affine Map**:  $f(x) = ax + b$  (does not go through origin  $\rightarrow$  not linear map)

**Basis change**:  $u$  has coord. in  $B$

with basis vectors  $\vec{i}$  and  $\vec{j}$ . It is in  $A$ :

$$f(u) = f(u_1 \vec{i} + u_2 \vec{j}) = u_1 \cdot f(\vec{i}) + u_2 \cdot f(\vec{j})$$

$A \Rightarrow$  Only need to find out how to represent  $\vec{i}$  and  $\vec{j}$  in  $A$ !

**Scale** (Linear): Uniform:  $S_\alpha(x) = \alpha \cdot x$

Non-Uniform:  $S(x) = x_1 a e_1 + x_2 b e_2$

**Rotation about Origin (Linear)**

$$R_\theta(x) = x_1 (\cos \theta, \sin \theta) + x_2 (-\sin \theta, \cos \theta)$$

$$\text{Shear: } \square \xrightarrow{\text{a}} \square \quad H_a(x) = x_1 [1] + x_2 [a]$$

**Homogenous Coord.**: "lift" to higher dim:

$$\text{Hom. Point: } 3D = [x, y, z] \rightarrow 3D-H = [x, y, z, 1]$$

$$\text{Hom. Vector: } 3D = [x, y, z] \rightarrow 3D-H = [x, y, z, 0]$$

**Translation (affine)**:  $T(x) = x + b$

$\rightarrow$  in Homog. Coord., translation is linear

**Composing Linear transforms**

$\rightarrow$  done via Matrix Multiplication

**As 2D Matrices**

$$S(x) = \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix} \cdot x \quad R_\theta(x) = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \cdot x$$

$$H_a(x) = \begin{bmatrix} 1 & a \\ 0 & 1 \end{bmatrix} \cdot x \quad T(x) = \begin{bmatrix} x_1 + b_1 \\ x_2 + b_2 \\ 1 \end{bmatrix}$$

**3D Transforms**  $c\theta = \cos \theta; s\theta = \sin \theta$

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & c\theta & -s\theta \\ 0 & s\theta & c\theta \end{bmatrix} \quad \begin{bmatrix} c\theta & 0 & s\theta \\ 0 & 1 & 0 \\ -s\theta & 0 & c\theta \end{bmatrix} \quad \begin{bmatrix} c\theta & -s\theta & 0 \\ s\theta & c\theta & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Rot. about x      Rot. about y      Rot. about z

$$\begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & s_z \end{bmatrix} \quad \begin{bmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \end{bmatrix}$$

Translation 3DH      Scaling      Shear in x

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{bmatrix} \quad \begin{bmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{bmatrix}$$

Reflection x      Reflection y

**Perspective Projection**

**Basic PP**: Input: 3D Point  $p = (x, y, z)$

Output: PP(2D)-Point:  $p_{2D}$

$$p_{2D} = \left( \frac{x}{z}, \frac{y}{z} \right)$$

**View Frustum**: Region in space that

will appear on screen

$\Theta = \text{field of view}$

$$h = 2 \cdot \tan\left(\frac{\Theta}{2}\right)$$

$r = \text{aspect ratio} = \text{width} / \text{height}; f = \cot\left(\frac{\Theta}{2}\right)$

$$\begin{bmatrix} f & 0 & 0 & 0 \\ 0 & f & 0 & 0 \\ 0 & 0 & \frac{z_f + z_n}{z_n - z_f} & \frac{2 \cdot z_p \cdot z_n}{z_n - z_f} \\ 0 & 0 & -1 & 0 \end{bmatrix}$$

**Geometry**

**Implicit Representation**

• Shape of object is given by a relation

+ Inside/outside check is easy

- Sampling is hard

**Algebraic Surface**: Geometry given

by polynomial where  $F(x, y, \dots) = 0$

**Constructive Solid Geometry**

Build complicated shapes via Bool oper.

**Distance function**: Gives the dist.

to closest point on object

**Level set**: Store grid of values

approximating the distance function, interpolate to find surface

**Fractals & L-Systems**: described

by special "language" (similar to derivation trees in other applications)

**Explicit Representation**

• Geometry is given directly

+ Sampling is usually easy

- In/Out is difficult

**Point Cloud**: Store single points

**Polygonal Mesh**: Store vertices & polygons (e.g. triangles)

**Triangle Mesh**: Store vertices as triplets of coordinates, triangles as triplets of indices (of vertices)

**Energy on area  $A$** :  $E = \frac{\Phi}{A} = \frac{\Phi \cdot \cos \alpha}{A}$

$\Phi$  = Flux;  $A$  = Area  $A$  with angle  $\alpha$

**N-dot-L shading**:  $\max(0, \dot{N} \cdot \dot{L})$

$N$  = surface normal;  $L$  = unit dir. to light

**Phong-Shading**: Similar to N-dot-L, but instead of using one normal for the whole polygon, it uses the normals of the vertices and interpolates them.  $\rightarrow$  see page 8

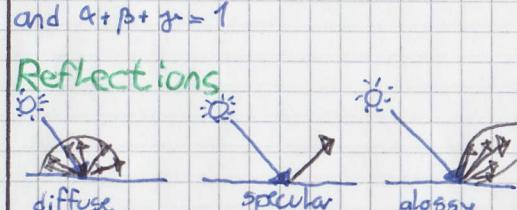
**Interpolating Attributes**

$f_x = \alpha a + \beta b + \gamma c$ ; with  $a, b, c$  obtained by

$a = \frac{A_B}{A}; b = \frac{A_C}{A}; c = \frac{A_D}{A}$

$\alpha + \beta + \gamma = 1$

**Reflections**



## Texture

Aliasing due to undersampling  $\Rightarrow$  use pre-filtered textures

Magnification: Screen area  $\Rightarrow$  small texture area. Interpolation required

Minification: Screen area  $\Rightarrow$  large texture area. Averaging required

Mipmap: Store pre-filtered texture in some „region“. The level  $d$  of the pyramid can be computed using diff. between screen pixels.

Bi-Linear:  $d$  is „clamped“ to next lvl. Interpolates value with 4 neighboring values:  

$$C = \alpha_B B + (1 - \alpha_B) \alpha_A A$$
  

$$\alpha_C = \alpha_B + (1 - \alpha_B) \alpha_A$$

+ Better Texture  
- Reads 4 texels

Tri-Linear:  $d$  is continuous, it interpolates between different mipmap lvs.

Even smoother, but more comput. cost  
 $\hookrightarrow$  Both isotropic, will „overblur“ to avoid aliasing. Use anisotropic filtering to avoid this  $\rightarrow$  higher comput. cost

## Texture sampling operation

- 1) Compute  $u, v$  from screen sample  $x, y$
- 2) Compute  $\frac{du}{dx}, \frac{du}{dy}, \frac{dv}{dx}, \frac{dv}{dy}$  from screen-adjacent samples
- 3) Compute  $d$  from differentials
- 4) Convert norm. texture coord.  $(u, v)$  to

texture coord.  $texel_u, texel_v$

- 5) Compute required texels in window of filter
- 6) Load required texels (e.g. 8 for tri-linear)
- 7) Perform interpolation (e.g. Trilinear)

## Graphic Pipeline

Z-Buffer stores depth information of the objects in the scene

Opacity is represented as the  $\alpha$ -channel

Opaque Images: Composite img B with opacity  $\alpha_B$  over image A with  $\alpha_A$ :

$$C = \alpha_B B + (1 - \alpha_B) \alpha_A A$$

$$\alpha_C = \alpha_B + (1 - \alpha_B) \alpha_A$$

## Graphics Pipeline: Vertex Processing

$\rightarrow$  Primitive Processing  $\rightarrow$  Rasterization  
(Fragm. Gen.)  $\rightarrow$  Frag. Proc. (Shading)  $\rightarrow$  Screen sample operations

## Mix of opaq. & transp. triang.

- 1) Render opaque surfaces as normal
- 2) Disable depth-buffer update. Render transp. surfaces back-to-front. If depth-test is passed  $\rightarrow$  triangle is rendered over content of depth-buffer.

## Ray-Tracing

### Rasterization vs Ray-Casting

- Proceeds in triangle order
- Based on 2D primit.
- Store depth buffer

- Proceeds in screen sample order
- Never have to store depth buffer
- Store whole scene

## Ray-Parametric Equation:

$$r(t) = o + t d; r(t) := \text{point along ray};$$

$o = \text{origin}; d = \text{unit direction}$

## Ray intersection with impl. surface

• Surface: all points  $x$  where  $f(x) = 0$

$\rightarrow$  replace  $x$  with  $r$ , solve for  $t$

$$\text{E.g. unit sphere } f(x) = \|x\|^2 - 1 \rightarrow \text{solve}$$

$$f(r(t)) = \|o + t d\|^2 - 1 \rightarrow t = \frac{-o \cdot d \pm \sqrt{(o \cdot d)^2 - \|d\|^2 + 1}}{\|d\|^2}$$

## Ray-Plane intersection Plane $N^T x = c$

$\hookrightarrow$  replace  $x$  with ray equation:

$$N^T(o + t d) = c \Rightarrow r(t) = o + \frac{c - N^T o}{N^T d} \cdot d$$

## Ray-Triangle intersection

• Triangle given by vertices  $p_0, p_1, p_2$

$\hookrightarrow$  Use barycentric coordinates, plug parametric ray equation into equation for pts on triangle:

$$p_0 + u(p_1 - p_0) + v(p_2 - p_0) = o + t d$$

$$\Rightarrow [p_1 - p_0 \quad p_2 - p_0 \quad -d] \begin{bmatrix} u \\ v \\ t \end{bmatrix} = o - p_0$$

(solve for  $u, v, t$ )

## Optimize Raytracing

Add a simple bounding box around each object (simpler to calculate intersection)

$\rightarrow$  if ray misses box, it also misses object

## Bounding volume hierarchy (BVH)

- Large bounding volumes contain smaller ones
- If hit, check smaller ones
- Simple splitting: Uniform grid, choose number of voxels  $\propto$  number of primitives
- Intersection cost =  $O(3\sqrt{n})$
- Tree nodes contain regions

## K-D Tree

Recursively split space via axis-aligned planes → interior nodes = splits; leafs = regions

## Quad- / Octree

Like unif. grid, but nodes have 4 (quadtree) partitions 2D space) or 8 children (octree); partitions 3D space).

**Keyframing**: Idea: specify important events only; computer/assistant fills in the rest inbetween via interpol./approxim.

→ Events can be position, color, light, camera,...

## Interpolation

Piecewise Linear „connect dots“

→ simple, but rough motion „infinite accelerat.“

**Splines**: Mostly use polynomials of third degree for interpolation (smooth; higher order polynomials lead to oscillations at endp.)

**Natural Splines**: Piecewise made of cubic polynomials  $p_i$ . How to determine:

• Interpolation at Endpoint of piece:

$$p_i(t_i) = f_i \quad p_i(t_{i+1}) = f_{i+1}$$

• Tangents to agree at Endpoints ( $C^1$  cont.):

$$p_i'(t_{i+1}) = p_{i+1}'(t_{i+1}) \quad i=0 \dots n-2$$

• Curvature to agree at Endpoints ( $C^2$  cont.)

$$p_i''(t_{i+1}) = p_{i+1}''(t_{i+1}) \quad i=0 \dots n-2$$

• Pin down remaining 2 DoF by setting curvature to zero at endpoints

## Hermite / Bezier Splines

- Each cubic „piece“ is specified by endpoints and tangents at datapoints
- Can get tangent ( $C^1$ ) cont. by setting tangents to same value on both sides of point (not necessary! E.g. for sharp corners in vector graphics)
- Endpoints interpolate data:

$$p_i(t_i) = f_i \quad p_i(t_{i+1}) = f_{i+1}$$

• Tangents interpolate given tangents:

$$p_i'(t_i) = u_i \quad p_{i+1}'(t_{i+1}) = u_{i+1}$$

→ Diff to natural splines: Tangents are given and need to match given

## Catmull-Rom Splines

Specialization of Hermite Splines, determined by values (points) alone

• Use difference of neighbors to define tangent:

$$u_i = \frac{f_{i+1} - f_{i-1}}{t_{i+1} - t_{i-1}}$$



**B-Splines**: Get better continuity &

• local control, but sacrifice interpolation

• Def. recurs.:  $B_{i,k} = \begin{cases} 1 & \text{if } t_i \leq t \leq t_{i+k} \\ 0 & \text{else} \end{cases}$

$$B_{i,k}(t) = \frac{t - t_i}{t_{i+k-1} - t_i} \cdot B_{i,k-1}(t) + \frac{t_{i+k} - t}{t_{i+k} - t_{i+1}} \cdot B_{i+1,k-1}(t)$$

→ Spline is lin. comb. of bases:

$$f(t) = \sum_i a_i \cdot B_{i,k}$$

## Rigging & Animation

**Blend Shapes**: Simple rig; uses a set of meshes  $M_i$  with vertices  $x_i$  and blending weights  $\alpha = (\alpha_1, \dots, \alpha_n)$ . The output is a „blended“ mesh, by lin. comb.:

$$M = \sum_i \alpha_i \cdot M_i, \quad \text{i.e. } x^i = \sum_i \alpha_i \cdot x_i^i$$

→ Keyframes are blending weights  $\alpha(t_i)$ , spline used to interpolate weights over time

## Cage-based Deformers

Embed high-dimensional (complex) models in simple cage. → Deform coarse mesh, apply deformation to high-res model by a lin. comb. of coarse mesh points.

→ Good for poses, but can be too restr., hard to have direct control

## Skeletal Animation

„implies“ Skeleton → Animate skeleton, have „Skin“ (mesh) follow the movements

**Forward Kinematics**: Hierarchy of affine transformations

• Joints: Local coord. Frames

• Bones: Vectors between pairs of joints

↳ Each non-root bone defined in frame

of unique parent  $p(j) \rightarrow$  Changes to parent  $q(t) = (x_0(t), x_1(t), \dots, x_n(t)) \in \mathbb{R}^n$

frame affect all children bones

→ Skeleton & Skin designed in Rest (Bind) pose

## Transform from frame j to world:

$$wR_j = wR(0) \dots p(p(j)) R(p(j)) p(j) R(j)$$

→ Starting from root node O, apply all (relative) transf. to parents of j to go from rel. coord. frame to (absolut.) world coordinates

**Rigid Skinning**: Assign each mesh vertex to closest bone, compute world coordinates according to bone's transform.

→ Unwanted „wrinkles“



→ fix if mesh close to bone or small rotations → Used in old games

**Linear Blend Skinning**: Assign each mesh vertex to multiple bones, compute world coordinates as convex combination; weights are the influence of each bone of the vertex → Leads to smoother deformation

$$v = \sum_j \alpha_j \cdot wR(j) \cdot \bar{R}(j)^{-1} v_j$$

↓  
 skin  
vertex  
weights  
vert. coord. in  
frame of bone j  
vert. in  
rest pose

## Physically Based Animation

### Configuration of a system:

of unique parent  $p(j) \rightarrow$  Changes to parent  $q(t) = (x_0(t), x_1(t), \dots, x_n(t)) \in \mathbb{R}^n$

frame affect all children bones

→ Skeleton & Skin designed in Rest (Bind) pose

at timepoint t

## Velocity of points in system $q(t)$

= First derivative  $\dot{q}(t)$

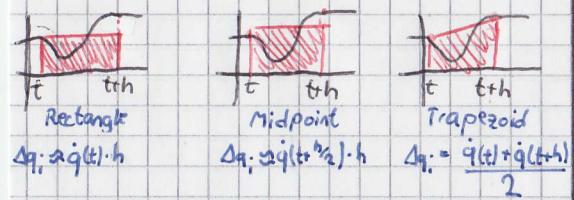
## Numerical Integration

- Have:  $q(0)$  [Pos. at  $t=0$ ] &  $\dot{q}(0)$

[Velocity at  $t=0$ ]

- Want:  $q(t)$  → Use numerical integration, with  $q(t+h) = q(t) + \int_t^{t+h} \dot{q}(t) dt$

→ Discrete Approxim.  $q_{i+1} = q_i + \Delta q_i$



## Explicit (Forward) Euler

- $q_{i+1} = q_i + h \cdot \dot{q}_i$  (Appl. Triangle rule)
- + Simple & intuitive: „Walk a bit in direction of derivative“
- Not very stable (may need very small steps)
- Evaluate derivative  $\dot{q}$  at current config.

## Implicit (Backward) Euler

- $q_{k+1} = q_k + h \cdot f(q_{k+1})$ ;  $f(q_{k+1}) =$  velocity at next timestep, only implicitly!
- + Unconditionally stable
- Numerical dampening, slow iterations

## Symplectic Euler

Update velocity using current config, update config using new velocity

+ Easy to implement

+ Energy is conserved almost exactly

- Only for 2nd order PDEs

## Optimization

### Gradient Descent

General Update Rule:  $\nabla f(x_1, x_2, \dots, x_n) = \begin{bmatrix} f dx_1 \\ f dx_2 \\ \vdots \\ f dx_n \end{bmatrix}$

$$x_{k+1} = x_k - \tau \nabla f(x)$$

### Optimality Conditions

$x^*$  is a local min. of  $f(x)$  iff:

- Gradient with respect to  $f(x)$  is zero
- Hessian Matrix is pos. definite

### Missed Stuff

#### Phong Shading

$N$  = Normal Vector;  $L$  = Towards Light src;  
 $V$  = Vect. towards Camera.

$R$  = Reflection Vect;  $R = 2(L \cdot N) \cdot N - L$   
 $I = I_{\text{amb}} + I_{\text{diff}}(N \cdot L) + I_{\text{spec}}(R \cdot V)^{\alpha}$   
ambient + diffuse + specular

#### Reflection Terms of Phong Shading:

- Ambient, diffuse & specular.
- Reflection vector  $R$  only used in specular term

## Low-Pass From High-Pass

Low-Pass = Impulse - Kernel - High-Pass

$$\text{Impulse} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

## Ideal Low-Pass Filter

• Completely elim. all freq. above cutoff

• Freq. response = Box (e.g. Sinc)

→ Can cause ringing if applied to img

## Kernel Buffer Solution

Example: Kernel has only 3 values. For each pixel, cat. pixel x value (for all 3 values) and store them → When needed in convolution, can just look up +  $3N^2$  instead of  $9N^2$

## Bilateral Filtering

$$I'(x, y) = \frac{1}{2} \sum f(i, j) g(I(x, y) - I(x+i, y+j)) \cdot$$

$I(x+i, y+j)$  with  $(i, j) \in N(x, y)$   
and  $g: \mathbb{R} \rightarrow \mathbb{R}$  is a gaussian.

⇒ Edge-preserving smoothing; introduces a content-based filter by considering intensity diff. between Neighb.

⇒ Not spatially invariant b/c it is a product of gaussian on dist. & a gaussian on intensity difference

$$\Rightarrow z = \sum_{i,j} f(i, j) g(I(x, y) - I(x+i, y+j))$$

## Normal Mapping

Map „normal“ image where each pixel has its own normal

⇒ Looks like shadows are applied

## Cubic Hermite Spline

$p = \text{Points}; m = \text{Tangents}$

$$p(t) = (2t^3 - 3t^2 + 1)p_0 + (t^3 - 2t^2 + t)m_0 + (-2t^3 + 3t^2)p_1 + (t^3 - t^2)m_1$$

## Rodon Transformation

Backproj.: Shoot multiple rays at obj. from different angles, determine shape of obj.

Rodon Transform takes  $f$  def. on a plane to  $R_f$  on 2D space of lines in plane. Val. of a line = line integral of that line through obj.

CT:  $f$  is unknown density,  $R_f$  value of tomogr. scan. Inverse of  $R_f$  can be used to reconstruct original density from proj. data.

## Euler Examples

• Spaceship in 2D,  $m = \text{mass}$ ,  $F = \text{Force forw.}$

$$\Rightarrow \text{State } x = \begin{pmatrix} p \\ v \end{pmatrix} \Rightarrow \dot{p} = v; \ddot{v} = \frac{F}{m}$$

$$\Rightarrow \text{Expl. Euler: } p_{i+1} = p_i + \Delta t \cdot F_m$$

$$v_{i+1} = v_i + \Delta t \cdot \frac{F}{m}$$

$$\Rightarrow \text{Symplectic Euler: } v_{i+1} = v_i + \Delta t \cdot \frac{F}{m}$$

$$p_{i+1} = p_i + \Delta t \cdot v_{i+1}$$